



2152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #4

APPLICANT(S): Gilles Bellaton, et al.

ART UNIT: 2152

SERIAL NO.: 10/001,939

EXAMINER:

FILED: November 2, 2001

TITLE: SUPPORTING ACCESS CONTROL CHECKS IN A DIRECTORY  
SERVER USING A CHAINING BACKEND METHODAssistant Commissioner for Patents  
Washington, DC 20231

RECEIVED

MAR 28 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[ XX ] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[ ] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [ ] the fee set forth in § 1.17(p); or
- [ ] the statement specified in § 1.97(e).

[ ] This Statement is being filed under 37 CFR 1.97(d) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. This Statement is accompanied by:

- (1) the statement specified in § 1.97(e); and
- (2) the fee set forth in § 1.17(p).

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee due for the filing of this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 13220.004001 for any fees and/or credits during the entire pendency of this application.

Respectfully submitted,

Date: 3/15/02



Jonathan P. Osha, Reg. No. 33,986

**ROSENTHAL & OSHA L.L.P.**  
One Houston Center, Suite 2800  
1221 McKinney Street  
Houston, Texas 77010

Telephone No.: (713) 228-8600  
Facsimile No.: (713) 228-8778



22511

PATENT TRADEMARK OFFICE

SUBSTITUTE FOR  
FORM 1449  
(08-00)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/001,939
Filing Date	November 2, 2001
First Named Inventor	Gilles Bellaton
Group Art Unit	2152
Examiner Name	
Attorney Docket Number	13220.004001; P5839

**U.S. PATENT DOCUMENTS**

U.S. Patent Document					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	A1	6,157,942	12/05/2000	Chu, et al.	
	A2	5,774,552	06/30/1998	Grimmer	

**FOREIGN PATENT DOCUMENTS**

Foreign Patent Document						
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner initials*	Cite No.	References	T**
	A3	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 5 – Managing Access Control"; Administrator's Guide – Netscape Directory Server, Version 4.0; Catalog; 1998; pp. 87-148; Netscape Communications Corporation, Mountain View CA , USA	
	A4	NETSCAPE COMMUNICATIONS CORPORATION; "Chapter 5 – Planning Security Policies"; Deployment Guide – Netscape Directory Server, Version 4.0; Catalog; 1998; pp. 53-74; Netscape Communications Corporation, Mountain View CA , USA	

26556\_1.DOC

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Gilles Bellaton, et al.

Docket No.

13220.004001; P5839

Serial No.

10/001,939

Filing Date

November 2, 2001

Examiner

Group Art Unit

2152

Invention:

**SUPPORTING ACCESS CONTROL CHECKS IN A DIRECTORY SERVER USING A CHAINING  
BACKEND METHOD**

I hereby certify that this Information Disclosure Statement along with the required papers  
(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 19, 2002  
(Date)

Grace A. Wise

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.